



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/266,207
Filing Date Mar 10, 1999
Confirmation No. 5470
Inventorship England
Applicant Microsoft Corporation
Group Art Unit 2135
Examiner KLIMACH, PAULA W
Attorney's Docket No. MS1-282USC6
Title: System and Method for Authenticating an Operating System to a Central Processing
Unit Providing the CPUOS With Secure Storage and Authenticating the CPUOS to
a Third Party

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

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To: MS: Amendment
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From: David S. Lee (Tel. 509-324-9256; Fax 509-323-8979)
Customer No. 22801

The attached form PTO-1449 is submitted in compliance with Applicant's duty of disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

Dated: July 19, 2004

By:

David S. Lee
David S. Lee
Reg. No. 38,222

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[Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/266,207
				Filing Date	Mar 10, 1999
				First Named Inventor	England
				Group Art Unit	2135
				Examiner Name	KLIMACH, PAULA W
Sheet	1	of	4	Attorney Docket Number	MS1-282USC6

U.S. PATENT DOCUMENTS						
Examiner Initials ²	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,023,907		Johnson et al.	06-11-1991	
		5,335,334		Takahashi et al.	08-02-1994	
		5,491,827		Holtey	02-13-1996	
		5,557,518		Rosen	09-17-1996	
		5,664,016		Preneel et al.	09-02-1997	
		5,671,280		Rosen	09-23-1997	
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		5,757,919		Herbert et al.	05-26-1998	
		5,841,869		Merkling et al.	11-24-1998	
		5,872,847		Boyle et al.	02-16-1999	
		5,892,902		Clark	04-06-1999	
		5,892,904		Atkinson et al.	04-06-1999	
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		5,958,050		Griffin et al.	09-28-1999	
		5,963,980		Coulter et al.	10-05-1999	
		5,991,399		Graunke et al.	11-23-1999	
		6,032,257		Olarig et al.	02-29-2000	
		6,092,189		Fisher et al.	07-18-2000	
		6,105,137		Graunke et al.	08-15-2000	
		6,118,873		Lotspiech et al.	09-12-2000	

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



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Substitute for form 1449B/PTO		Complete if Known	
		Application Number	09/266,207
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	Mar 10, 1999
		First Named Inventor	England
		Group Art Unit	2135
		Examiner Name	KLIMACH, PAULA W
		Attorney Docket Number	MS1-282USC6
Sheet	2	of	4

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Arbaugh, et al., "A Secure and Reliable Bootstrap Architecture", Assumptions, pp. 1-7, December 1996.	
		McKenzie, "Seybold Report on Internet Publishing, Vol. 1, No. 4, p. 6(9), December 1996.	
		M. Kuhn, "The TrustNo 1 Cryptoprocessor Concept", kuhn@cs.purdue.edu, pp. 1-6, April 1997.	
		"Phoenix Technologies Partners with Secure Computing in Enterprise Security Marketplace", Business Wife, Courtesy of Dialog Text Search, pp. 1-2, July 2001.	

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